

05-14-01 H-A

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Date of Deposit: May 11, 2001

Attorney Docket No. 07473-032

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Sherman

Serial No.:

09/780,266

Filing Date:

February 9, 2001

For:

SYSTEM AND METHOD FOR PROVIDING ADDITIONAL

LIFE INSURANCE

Examiner:

Unassigned

Art Unit:

2161

Box Missing Parts

Commissioner of Patents and Trademarks

Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Enclosed herewith for filing in the above-identified patent application please find the following listed items.

- 1. Copy of Notice to File Missing Parts of Nonprovisional Application;
- 2. Combined Declaration and Power of Attorney for Patent Application;
- 3. Substitute Drawings in Compliance with 37 CFR 1.84; and
- 4. Return postcard.

A check in the amount of \$130.00 is enclosed to cover the fee for filing the Declaration. If the total amount of the checks is insufficient, the Commissioner is hereby authorized to charge the balance due to the account of the undersigned, Deposit Account No. 50-0311 and reference Docket No. 07473-032. A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,

Carol H. Peters

Registration No. 45,010

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Date: May 11, 2001

X5/16/01



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/780,266 02/09/2001 Lawrence M. Sherman 07473-032

CONFIRMATION NO. 6278

FORMALITIES LETTER

OC00000005869349

MINTZ, LEVIN, COHN, FERRIS, GLOVSKY and POPEO, P.C. One Financial Center Boston, MA 02111

Date Mailed: 03/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice <u>MUST</u> be returned with the reply.

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